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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,236	08/29/2001	Richard A. Hillstead	HILL 100

CONFIRMATION NO. 9154

 26568  
 COOK, ALEX, MCFARRON, MANZO, CUMMINGS & MEHLER LTD  
 SUITE 2850  
 200 WEST ADAMS STREET  
 CHICAGO, IL 60606

## FORMALITIES LETTER



\*OC000000006879610\*

Date Mailed: 10/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

02/05/2002 NBERHE 00000030 09942235

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65.00 DP

2002 FEB 24 660

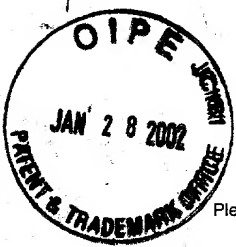
*Ant*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/942,236	
	<b>Filing Date</b>	August 29, 2001	
	<b>First Named Inventor</b>	Hillstead, et al.	
	<b>Group Art Unit</b>	3739	
	<b>Examiner Name</b>	Not yet Assigned	
<b>Total Number of Pages in This Submission</b>	31	<b>Attorney Docket Number</b>	HILL 100

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input checked="" type="checkbox"/> Copy Notice to File Missing Parts	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) Formal Drawings with Cover Sheet <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Declaration of Utility or Design Application - Certificate of Mailing - Return Receipt Postcard
<b>Remarks</b> U.S. Post Office Express Mail Label No. EL845497794US		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27, 357)
<b>Signature</b>	
<b>Date</b>	January 28, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as <b>Express</b> mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <b>January 28, 2002</b>	
<b>Typed or printed name</b>	Jeannie Rapstad
<b>Signature</b>	
<b>Date</b>	January 28, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT  
Attorney Docket No. HILL 100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Richard A. Hillstead, et al.

Serial No.: 09/942,236

Filed: August 29, 2001

Group Art No.: 3739

Examiner: Not yet Assigned

For: MEDICAL INSTRUMENT

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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NAME Jeannie Rapstad

SIGNATURE

*Jeannie Rapstad*

Submission of Formal Drawings

Commissioner for Patents  
U.S. Patent & Trademark Office  
Washington, D.C. 20231

Attn: Official Draftsman

Enclosed are twenty (20) sheets of formal drawings depicting  
Figs. 1 through 39e.

Respectfully submitted,

Date: January 28, 2002

By:

*Gary W. McFarron*  
Gary W. McFarron, Esq.  
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Telephone: (312) 236-8500

Attorneys for Applicant



PATENT  
Attorney Docket No. HILL 100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
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Richard A. Hillstead, et al. )  
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NAME Jeannie Rapstad

SIGNATURE

Jeannie Rapstad

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1. Transmittal Form PTO/SB/21 (1 sheet);
2. Copy of Notice to File Missing Parts (2 sheets);
3. Power of Attorney or Authorization of Agent (2 sheets);
4. Declaration for Utility or Design Application (2 sheets);
5. Submission of Formal Drawings Cover Sheet (1 sheet);
6. Formal Drawings (20 sheets);
7. Petition for Extension of Time PTO/Sb/22 (in duplicate, 2 sheets total);
8. Fee Transmittal PTO/SB/17 (in duplicate, 2 sheets total);
9. Certificate of Mailing (1 sheet);
10. Check No. 8061 in the amount of \$265.00 in payment of the filing fees; and
11. Return Receipt Postcard.

EL845497794US

Name: Jeannie Rapstad

Signature:

Jeannie Rapstad